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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: July 12, 2004 Name: John J. King Signature: *[Signature]*

BRINKS  
HOFFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: T. Hall

Appln. No.: 10/814,737

Filed: March 31, 2004

For: System For And Method Of Operating A  
Radio Station In A Broadcast Network

Attorney Docket No: 9827/10

Examiner: Unknown

Art Unit: 2681

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Information Disclosure Statement; PTO Form 1449; One Copy of References A1-A18  
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

7/12/04

Respectfully submitted,

*[Signature]*  
John J. King (Reg. No. 35,918)

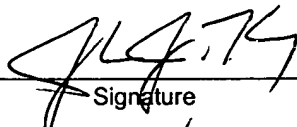


# CERTIFICATE OF MAILING

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7/12/04  
Date of Deposit

John J. King  
Name of Applicant, Assignee or  
Registered Representative

  
Signature  
7/12/04  
Date of Signature

Our Case No.: 9827/10

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

T. Hall

Serial No.: 10/814,737

Filing Date: March 31, 2004

For: SYSTEM FOR AND METHOD OF  
OPERATING A RADIO STATION  
IN A BROADCAST NETWORK

Examiner: Unknown

Group Art Unit No.: 2681

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

**U.S. PATENTS**

<u>Patent No.</u>	<u>Date</u>	<u>Inventor</u>
5,033,112	07/16/1991	Bowling et al.
5,133,081	07/21/1992	Mayo
5,617,086	04/01/1997	Klashinsky et al.
5,848,129	12/08/1998	Baker
6,064,318	05/16/2000	Kirchner, III et al.
6,133,854	10/17/2000	Yee et al.
6,223,125 B1	04/24/2001	Hall
6,430,603 B2	08/06/2002	Hunter
US 2003/0022695 A1	01/30/2003	Homsi
6,556,838 B1	04/29/2003	Baker et al.
US 2003/0095043 A1	05/22/2003	Butzer et al.
6,611,690 B1	08/26/2003	Moulsley et al.
6,633,238 B2	10/14/2003	Lemelson et al.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
WO 01/52412 A1	07/19/2001	PCT

**OTHER ART**

T. Hall et al., "Evaluation of HAR Synchronization Using GPS Timing Signals", GPS NDOT Test R06 D02, Published October 9, 2002

"DR2000D Computer Controlled Highway Advisory Radio System", Highway Information Systems

"DR2000V Adds VMS Control", Highway Information Systems, Inc.

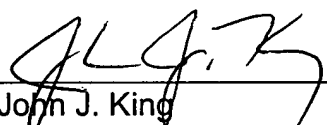
"Bumper to Bumper", HIS, <http://www.highwayinfo.com/bumapter/6-1-nj.php>, November 2, 2003

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

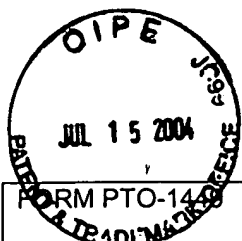
This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
John J. King  
Registration No. 35,918  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-140	SERIAL NO. 10/814,737	CASE NO. 9827/10
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 31, 2004	GROUP ART UNIT 2681
(use several sheets if necessary)		APPLICANT(S): T. Hall

#### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,033,112	07/16/1991	Bowling et al.		
	A2	5,133,081	07/21/1992	Mayo		
	A3	5,617,086	04/01/1997	Klashinsky et al.		
	A4	5,848,129	12/08/1998	Baker		
	A5	6,064,318	05/16/2000	Kirchner, III et al.		
	A6	6,133,854	10/17/2000	Yee et al.		
	A7	6,223,125 B1	04/24/2001	Hall		
	A8	6,430,603 B2	08/06/2002	Hunter		
	A9	US 2003/0022695 A1	01/30/2003	Homs		
	A10	6,556,838 B1	04/29/2003	Baker et al.		
	A11	US 2003/0095043 A1	05/22/2003	Butzer et al.		
	A12	6,611,690 B1	08/26/2003	Moulsley et al.		
	A13	6,633,238 B2	10/14/2003	Lemelson et al.		

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14	WO 01/52412 A1	07/19/2001	PCT		

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>					
	A15	T. Hall et al., "Evaluation of HAR Synchronization Using GPS Timing Signals", GPS NDOT Test R06 D02, Published October 9, 2002				
	A16	"DR2000D Computer Controlled Highway Advisory Radio System", Highway Information Systems				
	A17	"DR2000V Adds VMS Control", Highway Information Systems, Inc.				
	A18	"Bumper to Bumper", HIS, <a href="http://www.highwayinfo.com/bumpter/6-1-nj.php">http://www.highwayinfo.com/bumpter/6-1-nj.php</a> , November 2, 2003				

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.